OTP E CZ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3 10/30/01

Docket No. 9385

Application of

Colin Waters et al.

Serial No. 09/827,291

Filed: April 5, 2001

RECEIVED

OCT 1 2 2001

Technology Center 2100

Group Art Unit: 2621

For: SYSTEM AND METHOD FOR IMPLEMENTING FINANCIAL TRANSACTIONS USING BIOMETRIC KEYED DATA

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

10-2-01 Date Karen A. Church

LETTER FORWARDING FORMAL DRAWINGS

Sir:

Subject to approval by the Examiner and upon allowable subject matter, enclosed herewith are the new formal drawings for Figures 1-2. Please substitute the enclosed formal drawings for the originally filed informal drawings for Figures 1-2, which were provided with the patent application when filed on April 5, 2001.

Two duplicate copies of this letter and the formal drawings have been provided for the convenience of the Office.

The Commissioner is hereby authorized to charge any fees which may be required to NCR Corporation Deposit Account No. 14-0225.

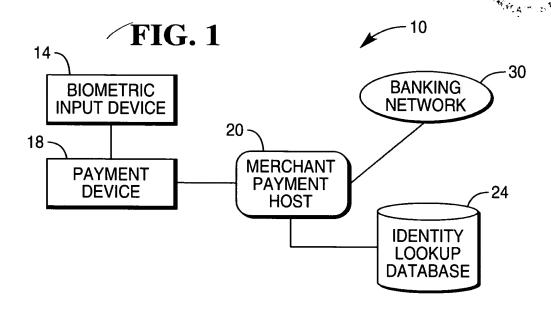
Respectfully submitted,

Attorney for Applicants

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OCT -2 2001



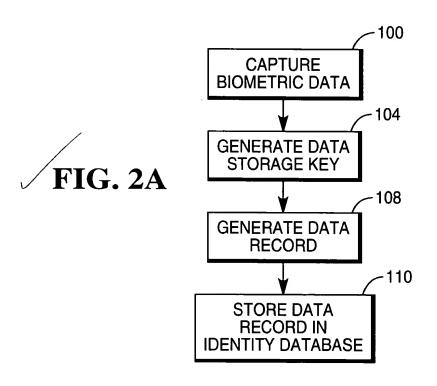


FIG. 2B 120 160 **CAPTURE BIOMETRIC DATA** ACCOUNT Ν -124 **MESSAGE** TRANSMIT BIOMETRIC 164 -168 DATA TO HOST **TRANSACTION CAPTURE** -128 **TERMINATED** TRANSACTION DATA **GENERATE DATA** STORAGE KEY -170 USER 130 **APPROVES FIND KEY** IN DATABASE -174 136 -**GENERATE** 132 م **DIGITAL SIGNAL** RETRIEVE DATA -178 **RECORD WITH KEY GENERATE ACCOUNT GENERATE** 140 -**UNAVAILABLE** TRANSACTION MESSAGE **MESSAGE** READ BIOMETRIC DATA FROM DATA RECORD -- 180 **TRANSMIT** 144 TRANSACTION MESSAGE BIOMETRIC **DATA MATCH** 184 **VERIFY** -148 **SIGNATURE** 188 RETRIEVE ACCOUNT 190 **GENERATE** DATA FROM RECORD **ACCOUNT** - 150 **UNAVAILABLE GENERATE EFT MESSAGE MESSAGE** GENERATE ACCOUNT -194 **MESSAGE** TRANSMIT EFT MESSAGE 154 -TO BANKING NETWORK SEND MESSAGE TO -196 TRANSACTION SITE **GENERATE TRANSACTION** - 158 **COMPLETE MSG RECEIVE AND** -198 **DISPLAY MESSAGE** SEND GENERATED MESSAGE **END**

